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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Substitute for form 1449/P

Sheet

(Use as many sheets as necessary)

Complete if Known **Application Number** 10/576,134 Filing Date July 13, 2007 First Named Inventor Enrique V. Barrera Art Unit 1713 **Examiner Name** Unknown 11321-P074WOUS Attorney Docket Number

Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	No.1	Number-Kind Code ^{2 (ff known)}	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
		US- 5,374,415	12-20-1994	Alig et al	
		^{US-} 6,645,455	11-11-2003	Margrave et al	
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Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ "Number ⁴ "Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	Т
		WO 05/028740	03-31-2005	Margrave et al		
		WO 05/030858	04-07-2005	Tour et al		
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INF	ORMATI	ON DIS	CLOSURE	Filing Date	July 13, 2007		
STATEMENT BY APPLICANT				First Named Inventor	Enrique V. Barrera		
(Use as many sheets as necessary)				Art Unit	1703		
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Sheet	2	of	5	Attorney Docket Number	11321-P074WOUS		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	1	lijima, "Helical microtubules of graphitic carbon," Nature (1991) 354, pp. 56-58	
	2	lijima et al, "Single-shell carbon nanotubes of 1-nm diameter," Nature (1993) 363, pp. 603-605	
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-	4	Calvert, "A recipe for Strength," Nature (1999) 399, pp. 210 -211	
	5	Thostenson, "Advances in the science and technology of carbon nanotubes and their composites: a review," Composite Science and Technology (2001) 61, pp. 1899-1912	
	6	Schadler et al, "Load transfer in carbon nanotube epoxy composites," Appl. Phys. Lett. (1998) 73, pp. 3842-3844	
	7	Ajayan et al, "Single-Walled Carbon Nanotube-Polymer Composites: Strength and Weakness," Adv. Mater.(2000) 12, pp. 750-753	
	8	Khabashesku et al, "Chemistry of Carbon Nanotubes," Encyclopedia of Nanoscience and Nanotechnology (2004) 1, pp. 1-47	
	9	Khabashesku et al, "Fluorination of Single-Wall Carbon Nanotubes and Subsequent Derivatization Reactions," Acc. Chem. Res. (2002) 35, pp. 1087-1095	
	10	Bahr et al, "Covalent chemistry of single-wall carbon nanotubes," J. Mater. Chem. (2002) 12, pp. 1952-1958	

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INFO	PRMATION	I DIS	CLOSURE	Filing Date	July 13, 2007	
STATEMENT BY APPLICANT				First Named Inventor	Enrique V. Barrera	
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Sheet	3	of	5	Attorney Docket Number	11321-P074WOUS	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	11	Hafner et al, "Catalytic growth of single-wall carbon nanotubes from metal particles," Chem. Phys. Lett. (1998) 296, pp. 195-202	
	12	Cheng et al, "Bulk morphology and diameter distribution of single-walled carbon nanotubes," Chem. Phys. Lett. (1998) 289, pp. 602-610	
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	15	Bachilo et al, "Structure-Assigned Optical Spectra of Single-Walled Carbon Nanotubes," Science (2002) 298, pp. 2361-2366	
	16	Strano et al, "Electronic Structure Control of Single-Walled Carbon Nanotube Functionalization," Science (2003) 301, pp. 1519-1522	
	17	Chiang et al, "Purification and Characterization of Single-Wall Carbon Nanotubes," J. Phys. Chem. B (2001) 105, pp. 1157-1161	
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	19	Liu et al., "Fullerene Pipes," Science (1998) 280, pp. 1253-1256	
	20	Gu et al, "Cutting Single-Wall Carbon Nanotubes through Fluorination," Nano Lett. (2002) 2, pp. 1009-1013	

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Signature	Considered	

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				Application Number	10/576,134	
			CLOSURE	Filing Date	July 13, 2007	
STA	TEMENT E	BY A	PPLICANT	First Named Inventor	Enrique V. Barrera	
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				Examiner Name	Unknown	
Sheet	4	of	5	Attorney Docket Number	11321-P074WOUS	

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	21	GEORGAKILAS et al, "Organic Functionalization of Carbon Nanotubes," J. Am. Chem. Soc., (2002) 124, pp. 760-761	
	22	BARRERA, "Key Methods for Developing Single-Wall Nanotube Composites," JOM (2000) 52, pp. 38-42	
	23	ZHU et al, "Improving the Dispersion and Integration of Single-Walled Carbon Nanotubes in Epoxy," Nano Lett., (2003) 3, pp. 1107-1113	
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	25	PANTAROTTO et al, "Synthesis Structural Characterization, and Immunological Properties of Carbon Nanotubes," J.Am. Chem. Soc. (2003) 125, pp. 6160-6164	
	26	ZHANG et al, "Sidewall Functionalization of Single-Walled Carbon Nanotubes with Hydroxl," Chem. Mater., (2004) 16, pp. 2055-2061	
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	28	THESS et al., "Crystalline Ropes of Metallic Carbon Nanotubes," Science (1996) 273, pp. 483-487	
	29	VANDER WAL et al., "Flame Synthesis of Fe Catalyzed Single-Walled Carbon Nanotubes and Ni Catalyzed Nanofibers," Chem. Phys. Lett. (2001) 349, pp. 178-184	
	30	ANDERSON et al., "Analysis of Silicones," A.L. Smith, editor, Wiley-Interscience, New York (1974) Chapter 10	

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				Application Number	10/576,134		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Filing Date	July 13, 2007		
				First Named Inventor	Enrique V. Barrera		
				Art Unit	1703		
				Examiner Name	Unknown		
Sheet	5	of	5	Attorney Docket Number	11321-P074WOUS		

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Initials*	No.1	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
	31	Mickelson et al, "Fluorination of Single-Wall Carbon Nanotubes," Chem. Phys. Lett. (1998) 296, pp. 188-194		
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	33	VELASCO-SANTOS et al., "Chemical Functionalization of Carbon Nanotubes Through an Organosilane," Nanotechnology (2002) 13, pp. 495-498		
	34	CHIANG, I. W. Ph.D. Thesis, Rice University, Houston, TX (2001) pp. 1-171		

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